



Patent Docket P058667

Receipt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Napoleone Ferrara et al.

Serial No.: 10/150,046

Filed: May 17, 2002

For: PRODUCTION OF VASCULAR
ENDOTHELIAL CELL GROWTH
FACTOR AND DNA ENCODING
SAME

Group Art Unit: 1647

Examiner: To Be Assigned

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on

September 20, 2002

Eileen Ly

RECEIVED
OCT 22 2003
TECH CENTER 1600/2900SECOND REQUEST FOR A CORRECTED FILING RECEIPT

1671

Assistant Commissioner of Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Sir:

Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested. Please make the corrections as indicated in red on the attached copy and as follows:

In the APPLICANT(S) section, please amend to read as follows:

Napoleone Ferrara, San Francisco, CA

David Wai-Hung Leung, Seattle, WA

In the DOMESTIC PRIORITY DATA AS CLAIMED BY APPLICANT section, please amend to read as follows:

THIS APPLICATION IS A CON OF 08/978,791 11/26/1997 ABN

WHICH IS A CON OF 08/749,710 11/15/1996 ABN

WHICH IS A CON OF 08/459,385 06/02/1995 ABN

WHICH IS A DIV OF 08/306,213 09/14/1994 ABN

WHICH IS A CON OF 08/047,756 04/15/1993 ABN

WHICH IS A CON OF 07/351,117 05/12/1989 ABN

Respectfully submitted,

GENENTECH, INC.

By: 

Steven X. Cui

Reg. No. 44,637

Telephone No. (650) 225-8674

Date: September 20, 2002



09157

PATENT TRADEMARK OFFICE

RECEIVED

Page 1 of 2

EXCISE/LS

OCT 22 2003

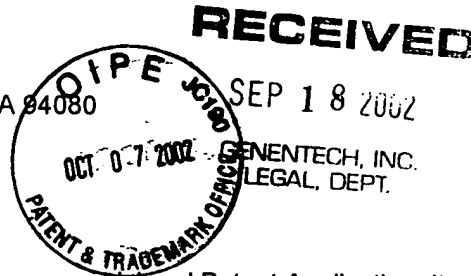


UNITED STATES PATENT AND TRADEMARK OFFICE
TECH CENTER 1600/2800

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/150,046	05/17/2002	1647	950	P0586C7	12	27	4

09157
GENENTECH, INC.
1 DNA WAY
SOUTH SAN FRANCISCO, CA 94080



CONFIRMATION NO. 3119

UPDATED FILING RECEIPT



Date Mailed: 09/13/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Napoleone Ferrara, Belvedere, CA ~~SEATTLE, WA~~ **SAN FRANCISCO, CA**
David Wai-Hung Leung, Foster City, CA ~~SEATTLE, WA~~

Assignment For Published Patent Application

Genentech, Inc.;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 08/978,791 11/26/1997 ABN
WHICH IS A DIV OF 08/306,213 09/14/1994 ABN
WHICH IS A CON OF 08/047,756 04/15/1993 ABN
WHICH IS A CON OF 07/351,117 05/12/1989 ABN

{ WHICH IS A CON OF 08/149,710 11/15/1996 ABN
WHICH IS A CON OF 08/459,385 06/02/1995 ABN

Foreign Applications

If Required, Foreign Filing License Granted 06/17/2002

✓ Projected Publication Date: 12/26/2002

Non-Publication Request: No

Early Publication Request: No

Title

Production of vascular endothelial cell growth factor and DNA encoding same

Preliminary Class

435

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).